I. Inventor Search Results from Dialog

? show files;ds;cost;logoff hold
File 139:EconLit 1969-2010/Oct
    (c) 2010 American Economic Association
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
    (c) 2002 Gale/Cengage
File 474:New York Times Abs 1969-2010/Oct 27
    (c) 2010 The New York Times
File 475:Wall Street Journal Abs 1973-2010/Oct 27
    (c) 2010 The New York Times
File 35:Dissertation Abs Online 1861-2010/Sep
    (c) 2010 ProQuest Info&Learning
File 65:Inside Conferences 1993-2010/Oct 27
    (c) 2010 BLDSC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Aug
    (c) 2010 The HW Wilson Co.
File 256:TecTrends 1982-2010/Oct W2
    (c) 2010 Info.Sources Inc. All rights res.
File   2:INSPEC 1898-2010/Oct W3
    (c) 2010 The IET
File 634:San Jose Mercury Jun 1985-2010/Oct 26
    (c) 2010 San Jose Mercury News
File 610:Business Wire 1999-2010/Oct 27
    (c) 2010 Business Wire.
File 613:PR Newswire 1999-2010/Oct 27
    (c) 2010 PR Newswire Association Inc
File 810:Business Wire 1986-1999/Feb 28
    (c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
    (c) 1999 PR Newswire Association Inc
File 20:Dialog Global Reporter 1997-2010/Oct 27
    (c) 2010 Dialog
File 626:Bond Buyer Full Text 1981-2008/Jul 07
    (c) 2008 Bond Buyer
File 268:Banking Info Source 1981-2010/Oct W3
    (c) 2010 ProQuest Info&Learning
File   9:Business & Industry(R) Jul/1994-2010/Oct 26
    (c) 2010 Gale/Cengage
File 15:ABI/Inform(R) 1971-2010/Oct 26
    (c) 2010 ProQuest Info&Learning
File 16:Gale Group PROMT(R) 1990-2010/Oct 25
    (c) 2010 Gale/Cengage
File 148:Gale Group Trade & Industry DB 1976-2010/Oct 26
    (c) 2010 Gale/Cengage
File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2010/Sep 14
(c) 2010 Gale/Cengage
File 621:Gale Group New Prod.Annou.(R) 1985-2010/Sep 03
(c) 2010 Gale/Cengage
File 636:Gale Group Newsletter DB(TM) 1987-2010/Oct 26
(c) 2010 Gale/Cengage
File 267:Finance & Banking Newsletters 2008/Sep 29
(c) 2008 Dialog
File 624:McGraw-Hill Publications 1985-2010/Oct 27
(c) 2010 McGraw-Hill Co. Inc
File 625:American Banker Publications 1981-2008/Jun 26
(c) 2008 American Banker
File 120:U.S. Copyrights 1978-2010/Sep 14
(c) format only 2010 Dialog
File 426:LCMARC-Books 1968-2010/Oct W3
(c) format only 2010 Dialog
File 483:Newspaper Abs Daily 1986-2010/Oct 27
(c) 2010 ProQuest Info&Learning
File 347:JAPIO Dec 1976-2010/Jun(Updated 100924)
(c) 2010 JPO & JAPIO
File 348:EUROPEAN PATENTS 1978-201042
(c) 2010 European Patent Office
File 349:PCT FULLTEXT 1979-2010/UB=20101021|UT=20101014
(c) 2010 WIPO/Thomson
File 350:Derwent WPIX 1963-2010/UD=201068
(c) 2010 Thomson Reuters
File 371:French Patents 1961-2002/BOPI 200209
(c) 2002 INPI. All rts. reserv.

Set   Items   Description
S1   22   AU=(WENDER D? OR WENDER, D? OR WENDER(2N)(DAVID OR DAVE))
S2   6   S1 FROM 347,348,349,350,371
S3   6   IDPAT (sorted in duplicate/non-duplicate order)
S4   3   IDPAT (primary/non-duplicate records only)
S5   16   S1 NOT S2
S6   14   RD (unique items)
S7   17   S4 OR S6

 7/AA,AN,AZ,AU,TI/1   (Item 1 from file: 474)
DIALOG(R)File 474:(c) 2010 The New York Times. All rts. reserv.
00527014   NYT Sequence Number: 094044740929
(Dorothea Wender lr illustrates several prophecies in Aldous Huxley's
  apocalyptic Brave New World that have been realized in world of '70s.
  Notes people in book swear by 'Our Ford,' talk about 'this yr of our Ford
  602,' and say 'Oh, for Ford's sake'.)
WENDER, DOROTHEA

7/AA,AN,AZ,AU,TI/2   (Item 1 from file: 35)
01192359
THE LAST SCENES OF THE ODYSSEY: A DEFENSE
  Author: WENDER, DOROTHEA SCHMIDT

7/AA,AN,AZ,AU,TI/3   (Item 2 from file: 35)
624101
CONFORMATIONAL AND CHEMICAL STUDIES ON ELASTIN MODEL POLYPEPTIDES.
  Author: WENDER, DONALD BRUCE

7/AA,AN,AZ,AU,TI/4   (Item 1 from file: 65)
0006929208 INSIDE CONFERENCE ITEM ID: CN071445701
Tolerability of lapatinib given concurrently with paclitaxel and
trastuzumab as part of adjuvant therapy in patients with resected HER2+
breast cancer: initial safety data from the Mayo Clinic cancer research
consortium trial RC0639
  Johnson, B.S.; Dueck, A.C.; Dakhil, S.R.; Stella, P.J.; Nikcevich,
D.A.; Franco, S.X.; Wender, D.B.; Schaefer, P.L.; Colon-Otero, G.; Diekmann, B.B.
  CONFERENCE: San Antonio breast cancer symposium

7/AA,AN,AZ,AU,TI/5   (Item 2 from file: 65)
06003145 INSIDE CONFERENCE ITEM ID: CN062110774
Phase II Study of Rituximab and Cladribine (2-CDA) in Newly Diagnosed
Mantle Cell Lymphoma (MCL) (N0189). (Poster # 924-II)
  Inwards, D. J.; Hillman, D. W.; Fishkin, P. A.; White, W. L.; Morton, R.
F.; Dakhil, S. R.; Nikcevich, D. A.; Wender, D. B.; Fitch, T. R.; Kurtin, P. J.
  CONFERENCE: American Society of Hematology

7/AA,AN,AZ,AU,TI/6   (Item 1 from file: 2)
01463119
Title: Real time holographic contouring and coherent light interferometry
  of gear tooth surfaces
Author(s): Wender, D.C. 1
Book Title: Proceedings of the Engineering Applications of Holography Symposium

7/AA,AN,AZ,AU,TI/7   (Item 2 from file: 2)
01243114

Title: Unique properties of holographic images
Author(s): Wender, D.C.

   7/AA,AN,AZ,AU,TI/8   (Item 1 from file: 120)
DIALOG(R)File 120:(c) format only 2010 Dialog. All rts. reserv.
     04143416
Roman poetry, from the Republic to the Silver Age / translated and with introductions by Dorothea Wender.
     AUTHOR(s): Wender, Dorothea, 1934-

   7/AA,AN,AZ,AU,TI/9   (Item 2 from file: 120)
DIALOG(R)File 120:(c) format only 2010 Dialog. All rts. reserv.
     02167165
The Mothers : a farce in three acts / by Dorothea Wender.
     AUTHOR(s): Terence, 185-159 B. C.; Wender, Dorothea S., 1934-
     APPLICATION AUTHOR(s): Dorothea S. Wender.

   7/AA,AN,AZ,AU,TI/10   (Item 1 from file: 426)
DIALOG(R)File 426:(c) format only 2010 Dialog. All rts. reserv.
16006051
   Roman poetry; from the Republic to the Silver Age / translated and with
   introductions by Dorothea Wender
   Wender, Dorothea, 1934-

   7/AA,AN,AZ,AU,TI/11   (Item 2 from file: 426)
DIALOG(R)File 426:(c) format only 2010 Dialog. All rts. reserv.
16003210
   Roman poetry, from the Republic to the Silver Age / translated and with
   introds. by Dorothea Wender
   Wender, Dorothea, 1934-

   7/AA,AN,AZ,AU,TI/12   (Item 3 from file: 426)
DIALOG(R)File 426:(c) format only 2010 Dialog. All rts. reserv.
8233264
   Frankie and the fawn [by] Marcia Polese and Dorothea Wender. Illustrated
   by David K. Stone
   Polese, Marcia
   Wender, Dorothea, 1934-, joint author; Stone, David K, illus

   7/AA,AN,AZ,AU,TI/13   (Item 4 from file: 426)
DIALOG(R)File 426:(c) format only 2010 Dialog. All rts. reserv.
6216185

Theogony; [and], Works and days [by] Hesiod; [and], Elegies [of]
Theognis; translated and with introductions by Dorothea Wender
UNIFORM TITLE: Theogony
Hesiod
Wender, Dorothea, 1934-, tr; Hesiod; Theognis
SERIES:
Penguin classics

7/AA,AN,AZ,AU,TI/14   (Item 5 from file: 426)
DIALOG(R)File 426:(c) format only 2010 Dialog. All rts. reserv.
4233418
   The last scenes of the Odyssey / by Dorothea Wender
   Wender, Dorothea, 1934-
   SERIES:
   Mnemosyne, bibliotheca classica Batava. Supplementum ;. 52
   Mnemosyne, bibliotheca classica Batava. Supplementum

7/AA,AN,AZ,AU,TI/15   (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0015267136
WPI ACC NO: 2005-617235/
Determination of type of option spread based upon options received from
input device, e.g. light emitting diode device involves receiving first
option from input device comprising option code, contract, strike, and callput
Original Titles:
Method of evaluating an option spread
Local Applications (No Type Date): US 2004788506 A 20040226
Priority Applications (no., kind, date): US 2004788506 A 20040226

7/AA,AN,AZ,AU,TI/16   (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0006989175
WPI ACC NO: 1994-357982/
Device for solid phase chemiluminescent diagnostic assay - includes element
which reduces light transmission from absorbent pad, improving signal to
noise ratio and thus sensitivity
Original Titles:
VERBESSERTE VORRICHTUNG FUR AUTOMATISIERTE CHEMILUMINESZENZ-
IMMUNTESTVERFAHREN
IMPROVED DEVICE FOR AUTOMATED CHEMILUMINESCENT IMMUNOASSAYS

< removed unnecessary information>

Local Applications (No Type Date): WO 1994US4382 A 19940421; AU 199467101
  A 19940421; US 199356219 A 19930430; EP 1994914865 A 19940421; WO

1994US4382 A 19940421; JP 1994524385 A 19940421; WO 1994US4382 A
19940421; EP 1994914865 A 19940421; WO 1994US4382 A 19940421; DE
69406537 A 19940421; EP 1994914865 A 19940421; WO 1994US4382 A
19940421; EP 1994914865 A 19940421; JP 1994524385 A 19940421; WO
1994US4382 A 19940421; CA 2159732 A 19940421; WO 1994US4382 A 19940421
Priority Applications (no., kind, date): US 199356219   A 19930430

 7/AA,AN,AZ,AU,TI/17   (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0004622246
WPI ACC NO: 1988-126012/
Vertical beam spectrophotometer measuring light absorption of assay -
illuminates bead diffusing light projected by lens onto photocell providing
electrical signal related to absorption
Original Titles:
Spektralphotometer mit senkrechtem Buendel
Vertical beam spectrophotometer
Spectrophotometre a faisceau vertical
Local Applications (No Type Date): US 198730931 A 19870326; EP 1988103398
 A 19880304; CA 562527 A 19880325; EP 1988103398 A 19880304; DE
  3855614 A 19880304; EP 1988103398 A 19880304; EP 1988103398 A 19880304
Priority Applications (no., kind, date): US 198730931   A 19870326

7/3,K/15   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2010 Thomson Reuters. All rts. reserv.

0015267136 - Drawing available
WPI ACC NO: 2005-617235/200563
XRAM Acc No: C2005-185584
XRPX Acc No: N2005-506628
Determination of type of option spread based upon options received from
input device, e.g. light emitting diode device involves receiving first
option from input device comprising option code, contract, strike, and callput
Patent Assignee: WENDER D (WEND-I)
Inventor: WENDER D
Patent Family (1 patents, 1 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
US 20050192886	A1	20050901	US 2004788506	A	20040226	200563 B

Priority Applications (no., kind, date): US 2004788506 A 20040226
Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20050192886	A1	EN	18	13	

Examiner:

II. Text Search Results from Dialog - Patents

A.   Abstract Databases

? show files;ds;cost;logoff hold
File 347:JAPIO Dec 1976-2010/Jun(Updated 100924)
        (c) 2010 JPO & JAPIO
File 350:Derwent WPIX 1963-2010/UD=201068
        (c) 2010 Thomson Reuters
File 371:French Patents 1961-2002/BOPI 200209
        (c) 2002 INPI. All rts. reserv.

Set   Items   Description
S1   615563   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2   615563   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S3   28   S2(1N)(COUNT OR COUNTS)
S4   125   S2()(CODE OR CODES)
S5   2   S4(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DE-
        SIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR -
        DATES))
S6   2468   S2(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S7   1   (SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()-
        (UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S3(10N)S5)
S8   154100   COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR COR-
        ELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELAT-
        E? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S9   1   S7(5N)S8
S10   52   S2(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S11   4   S10(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S12   0   S3(7N)S6(7N)S9(7N)S11
S13   10   S8(S)S10
S14   145521   COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR NUMBER
OR AMOUNT
S15   11972   S2(5N)S14
S16   1660   S8(S)S15
S17   2   S16(10N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFF-
        ERENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S18   13   S11 OR S13 OR S17
S19   13   IDPAT (sorted in duplicate/non-duplicate order)
S20   13   IDPAT (primary/non-duplicate records only)

20/AN,AZ,TI/1   (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0020353368
Financial instrument creating method, involves buying new protective put
option diagonal spreads, where put option purchased is both longer-dated
and struck nearer at-the-money than put option sold
Original Titles:
Collar Indexes and Financial Products Based Thereon
Local Applications (No Type Date): US 2009580341 A 20091016; US
  2008196273 P 20081016
Priority Applications (number, kind, date): US 2008196273 P 20081016; US
  2009580341 A 20091016

20/AN,AZ,TI/2   (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0020283739
English words learning method for e.g. elementary school, involves
providing appendix diskette with excel program, connecting appendix
diskette with study implement, and providing paper board in front side of
lower part storage box
Original Titles:
Method or the apparatus magnet the usage English word is memorized.
Local Applications (No Type Date): KR 200890866 A 20080912
Priority Applications (number, kind, date): KR 200890866   A 20080912

20/AN,AZ,TI/3   (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0018558082
Flower thinning agent for flower thinning comprises polysaccharide,
polysaccharide decomposition product and chemical derivatives as active ingredient
Original Titles:
The flower thinning agent and the flower thinning method
Local Applications (No Type Date): JP 200725762 A 20070205
Priority Applications (number, kind, date): JP 200725762   A 20070205

20/AN,AZ,TI/4   (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0017861047
Intra-period volatility determining method for security, involves setting
intra-period volatility to at-the-money volatility when pop cost for
theoretical position equals hedging profit or loss
Original Titles:
SYSTEM AND METHOD FOR CALCULATING INTRA-PERIOD VOLATILITY
Local Applications (No Type Date): US 2002223549 A 20020819; US 200819510
  A 20080124

Priority Applications (number, kind, date): US 2002223549 A 20020819; US
  200819510 A 20080124

 20/AN,AZ,TI/5   (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0017674650
System for selecting entrustment possibility of a new foo(futures/option
order) received from a client based on the online network
Original Titles:
An online network system for checking an entrustment possibility of a new
futures/option order
Local Applications (No Type Date): KR 200746886 A 20070515; CN
  200710162440 A 20071015; TW 2007143343 A 20071116
Priority Applications (number, kind, date): KR 200746886 A 20070515

 20/AN,AZ,TI/6   (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0017563957
Internet search provider and user incentive system displays several item
purchase options based on total reward points in user account, monetary
total or combination of both
Original Titles:
Internet Search and Action Incentivization System and Associated Methods
RECHERCHE SUR INTERNET ET SYSTEME ET PROCEDES ASSOCIES DE STIMULATION
D'ACTIONS
Local Applications (No Type Date): WO 2007US80261 A 20071003; US
  2006848944 P 20061003; US 2007866533 A 20071003
Priority Applications (number, kind, date): US 2006848944 P 20061003; US
  2007866533 A 20071003

 20/AN,AZ,TI/7   (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0016869181
System for cooperative trading of option units determines total amount paid
to individual investors, and credits online financial accounts, based on
specific investment selected by individual investor from option combination ranges
Original Titles:
System for co-operative investing including co-operative option and futures
exchange for structured financial products with revenue sharing
SYSTEME D'INVESTISSEMENT COOPERATIF COMPRENANT UN ECHANGE COOPERATIF
D'OPTIONS ET DE CONTRATS A TERME STANDARDISES POUR PRODUITS FINANCIERS
STRUCTURES A PARTAGE DE REVENUS
Local Applications (No Type Date): US 2005740197 P 20051128; US
  2006605071 A 20061128; WO 2007IB4592 A 20071128; WO 2007IB4592 A
  20071128

Priority Applications (number, kind, date): US 2005740197 P 20051128; US
  2006605071 A 20061128

 20/AN,AZ,TI/8   (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0016025109
Trading system for use in trading market, has trade matching system coupled
to communication network, and generating generation implied spread order
based on different spread order types having common and offsetting leg
Original Titles:
Implied market trading system
Local Applications (No Type Date): US 2001971172 A 20011004; US
  2006368967 A 20060306; US 2006368967 A 20060306
Priority Applications (number, kind, date): US 2001971172 A 20011004; US
  2006368967 A 20060306

 20/AN,AZ,TI/9   (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0015979475
Financial instrument creation and valuation method, involves calculating
average credit spread financial instrument based on average credit spreads
in market segment
Original Titles:
System, method, and computer program for creating and valuing financial
insturments linked to average credit spreads
Local Applications (No Type Date): US 2004751086 A 20040923
Priority Applications (number, kind, date): US 2004751086 A 20040923

 20/AN,AZ,TI/10   (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0015332984
Investment account`s income generating method, involves purchasing at
market price without regard to potential increase or decrease in price of
stock, and selling covered call options for stock to generate income
Original Titles:
System and method of managing a position in financial stock investments
Local Applications (No Type Date): US 2004807551 A 20040323
Priority Applications (number, kind, date): US 2004807551 A 20040323

 20/AN,AZ,TI/11   (Item 11 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0015267136

Determination of type of option spread based upon options received from input device, e.g. light emitting diode device involves receiving first option from input device comprising option code, contract, strike, and callput
Original Titles:
Method of evaluating an option spread
Local Applications (No Type Date): US 2004788506 A 20040226
Priority Applications (number, kind, date): US 2004788506 A 20040226

 20/AN,AZ,TI/12   (Item 12 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0014621581
Investment holding for convertible bond investor, has return to provide equity conversion for underlying equity security based on future contingent events, where derivative instrument payment is provided on installment basis
Original Titles:
Novel securities, supporting systems and methods thereof
Securities, supporting systems and methods thereof
SECURITES, SYSTEMES DE SUPPORT NOUVEAUX ET PROCEDES ASSOCIES
Local Applications (No Type Date): US 2003464371 P 20030422; US
  2004789920 A 20040227; WO 2004US12369 A 20040422; WO 2004US12369 A
  20040422; JP 2006513205 A 20040422; US 2004789920 A 20040227
Priority Applications (number, kind, date): US 2003464371 P 20030422; US
  2004789920 A 20040227

 20/AN,AZ,TI/13   (Item 13 from file: 350)
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.
0014020980
Historical intra-period volatility determining method for security e.g. equity, involves adjusting at-the-money volatility in selected valuation model until pop cost equals profit or loss and setting to intra-period volatility
Original Titles:
System and method for calculating intra-period volatility
Local Applications (No Type Date): US 2002223549 A 20020819
Priority Applications (number, kind, date): US 2002223549 A 20020819

20/3,K/7   (Item 7 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2010 Thomson Reuters. All rts. reserv.

0016869181 - Drawing available
WPI ACC NO: 2007-584243/200756
XRPX Acc No: N2007-450961
System for cooperative trading of option units determines total amount paid
to individual investors, and credits online financial accounts, based on
specific investment selected by individual investor from option combination ranges
Patent Assignee: DE HOOG F J (DHOO-I); CO FINANCIAL HOLDINGS BV (FINA-N)
Inventor: DE HOOG F J
Patent Family (3 patents, 120 countries)
Patent   Application
Number   Kind Date   Number   Kind Date   Update
US 20070136173 A1 20070614 US 2005740197   P 20051128 200756 B
                    US 2006605071   A 20061128
WO 2008152442   A2 20081218 WO 2007IB4592   A 20071128 200902 E
WO 2008152442   A3 20090709 WO 2007IB4592   A 20071128 200945 E
Priority Applications (number, kind, date): US 2005740197 P 20051128; US
 2006605071 A 20061128
Patent Details
Number   Kind Lan Pg Dwg Filing Notes
US 20070136173 A1 EN   21   5 Related to Provisional US 2005740197
WO 2008152442   A2 EN
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BH BR
   BW BY BZ CA CH CN CO CR CU CZ DE DK DM DO DZ EC EE EG ES FI GB GD GE GH
   GM GT HN HR HU ID IL IN IS JP KE KG KM KN KP KR KZ LA LC LK LR LS LT LU
   LY MA MD ME MG MK MN MW MX MY MZ NA NG NI NO NZ OM PG PH PL PT RO RS RU
   SC SD SE SG SK SL SM SV SY TJ TM TN TR TT TZ UA UG US UZ VC VN ZA ZM ZW
Regional Designated States,Original: AT BE BG BW CH CY CZ DE DK EA EE ES
   FI FR GB GH GM GR HU IE IS IT KE LS LT LU LV MC MT MW MZ NA NL OA PL PT
   RO SD SE SI SK SL SZ TR TZ UG ZM ZW
WO 2008152442   A3 EN
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BH BR
   BW BY BZ CA CH CN CO CR CU CZ DE DK DM DO DZ EC EE EG ES FI GB GD GE GH
   GM GT HN HR HU ID IL IN IS JP KE KG KM KN KP KR KZ LA LC LK LR LS LT LU
   LY MA MD ME MG MK MN MW MX MY MZ NA NG NI NO NZ OM PG PH PL PT RO RS RU
   SC SD SE SG SK SL SM SV SY TJ TM TN TR TT TZ UA UG US UZ VC VN ZA ZM ZW
Regional Designated States,Original: AT BE BG CH CY CZ DE DK EE ES FI FR
   GB GR HU IE IS IT LT LU LV MC MT NL PL PT RO SE SI SK TR OA BW GH GM KE
   LS MW MZ NA SD SL SZ TZ UG ZM ZW EA
Original Abstracts:
...and futures through an automated Internet portal for structured
financial products such as a butterfly spread option call
or put, and utilizing a co-operative option and future exchange of
investor member units for revenue...
Claims:

20/3,K/8   (Item 8 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2010 Thomson Reuters. All rts. reserv.

0016025109 - Drawing available
WPI ACC NO: 2006-556739/200657
Related WPI Acc No: 2003-492574; 2006-520653
XRPX Acc No: N2006-446615
Trading system for use in trading market, has trade matching system coupled
to communication network, and generating generation implied spread order
based on different spread order types having common and offsetting leg
Patent Assignee: INT PETROLEUM EXCHANGE LONDON LTD (ITPE-N); NEW YORK
  MERCANTILE EXCHANGE INC (NYME-N); ICE FUTURES (ICEF-N)
Inventor: MORANO M N; NEUMANN K; WEST I
Patent Family (2 patents, 1 countries)
Patent   Application
Number   Kind Date   Number   Kind Date   Update
US 20060161498 A1 20060720 US 2001971172   A 20011004 200657 B
                    US 2006368967   A 20060306
US 7260554   B2 20070821 US 2006368967   A 20060306 200756 E
Priority Applications (number, kind, date): US 2001971172 A 20011004; US
  2006368967 A 20060306
Patent Details
Number   Kind Lan Pg Dwg Filing Notes
US 20060161498 A1   EN   15   9   Division of application US 2001971172
                    Division of patent US 7039610

  Alerting Abstract ...The system permits the creation of all implied
markets that are inherent in combination of futures, calendar
spread and inter-commodity spread real orders. The system allows
utilization of nontradeable implied or bridge...
...11 Trade matching system...

20/3,K/11   (Item 11 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2010 Thomson Reuters. All rts. reserv.

0015267136 - Drawing available
WPI ACC NO: 2005-617235/200563
XRAM Acc No: C2005-185584
XRPX Acc No: N2005-506628
Determination of type of option spread based upon options received from input device,
e.g. light emitting diode device involves receiving first option from input device comprising
option code, contract, strike, and callput
Patent Assignee: WENDER D (WEND-I)
Inventor: WENDER D
Patent Family (1 patents, 1 countries)

Patent   Application
Number   Kind Date   Number   Kind Date   Update
US 20050192886 A1 20050901 US 2004788506   A 20040226 200563 B
Priority Applications (number, kind, date): US 2004788506 A 20040226
Patent Details
Number   Kind Lan Pg Dwg Filing Notes
US 20050192886 A1 EN   18 13

  Alerting Abstract ...NOVELTY - A type of an option
spread based upon options received from an input device is determined
by receiving a first option...
DESCRIPTION - Determination of a type of an option spread
based upon options received from an input device involves determining a
first previous option count...

...receiving a second option from an input device comprising an option
code, contract, strike, and comparing the second option to the first
option; assigning the first or second option based upon the
comparison of the second option to the first option; and determining
a type of option spread based upon the first or second
option counts, the comparison of the second option
to the first option, and the assigned quantities of the first and second
options. INDEPENDENT...

...a computer system for determining a type of option
spread comprising memory; and processor
interconnected with the memory and having a software component(s) loaded in
the processor and...
...USE - For determining a type of an option spread based
upon options received from an input device, e.g. mouse,
keyboard, LED device, pointing pen, stylus, touch screen or tracking ball (all...
...ADVANTAGE - The method quickly and accurately determines not only the
type of the option spread but its price and other
important investments information. The real-time solution must
deliver up to the minute data to traders on the...
Original Abstracts:
The invention relates to a method for determining a type of
option spread based upon options received
from an input device. The method comprises receiving a sequence of options,
comparing each option with each other option, assigning a
quantity for each option, and determining a type of
option spread based upon the comparison of each option
with each other option and the assigned quantity of each option.
Claims:
1. A method for determining a **type** of an **option**
spread based upon options received from an input device
comprising, the steps of:(a) determining a first previous option count...

...from an input device comprising an optioncode, a contract, a strike, and a callput;(f) comparing the second option to the first option;(g) assigning a quantity for at least one of the first option and the second option based upon the comparison of the second option to the first option; and,(h) determining a type of option spread based upon at least one of the first and second option counts, the comparison of the second option to the first option, and the assigned quantities of the first and second options.

B.   Full-Text Databases

? show files;ds;cost;logoff hold
File 348:EUROPEAN PATENTS 1978-201042
        (c) 2010 European Patent Office
File 349:PCT FULLTEXT 1979-2010/UB=20101021|UT=20101014
        (c) 2010 WIPO/Thomson

Set   Items   Description
S1   727042   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2   727042   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S3   159   S2(1N)(COUNT OR COUNTS)
S4   321   S2()(CODE OR CODES)
S5   11   S4(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DE-
        SIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR -
        DATES))
S6   9497   S2(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S7   0   (SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()-
        (UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S3(10N)S5)
S8   652608   COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR COR-
        ELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELAT-
        E? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S9   0   S7(5N)S8
S10   300   S2(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S11   15   S10(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S12   0   S3(7N)S6(7N)S9(7N)S11
S13   797   S2(5N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S14   94   S13(5N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S15   640463   COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR NUMBER
OR AMOUNT
S16   1   S8(10N)S14(10N)S15
S17   5   S8(S)S14(S)S15
S18   1258   S2(10N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFE-
        RENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S19   144   S18(10N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S20   19   S8(S)S14(S)S19
S21   20   S16 OR S17 OR S20
S22   20   IDPAT (sorted in duplicate/non-duplicate order)
S23   20   IDPAT (primary/non-duplicate records only)

23/AN,AZ,TI/1   (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2010 European Patent Office. All rts. reserv.
00370357
Thermally insulating continuous filament materials.
Warmeisolierungsmaterialien aus kontinuierlichen Faden.
Materiaux thermo-isolants en filaments continus.
APPLICATION (CC, No, Date):   EP 89310308 891009;
PRIORITY (CC, No, Date): GB 8823704 881010

23/AN,AZ,TI/2   (Item 2 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01929923
COMBINATORIAL ANALYSIS AND REPAIR
ANALYSE ET REPARATION COMBINATOIRES
   Application: WO 2009DK50185 20090722 (PCT/WO DK2009050185)

23/AN,AZ,TI/3   (Item 3 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01811580
METHODS AND SYSTEMS FOR VALUING EMBEDDED OPTIONS
PROCEDES ET SYSTEMES D'EVALUATION D'OPTIONS INTEGREES
   Application: WO 2008US11763 20081015 (PCT/WO US2008011763)

23/AN,AZ,TI/4   (Item 4 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01791142
ASYMMETRIC AND VOLATILITY MARGINING FOR RISK OFFSET
CALCUL DE MARGE ASYMETRIQUE ET DE VOLATILITE POUR UNE COMPENSATION DE
   RISQUE
   Application: WO 2008US72887 20080812 (PCT/WO US2008072887)

23/AN,AZ,TI/5   (Item 5 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01624910
SYSTEM AND METHOD FOR USING DIVERSIFICATION SPREADING FOR RISK OFFSET
SYSTEME ET PROCEDE POUR UTILISER UN ETALEMENT PAR DIVERSIFICATION POUR
UNE COMPENSATION DE RISQUE
   Application: WO 2007US16610 20070724 (PCT/WO US2007016610)

23/AN,AZ,TI/6   (Item 6 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01428285
METHOD AND SYSTEM OF PRICING FINANCIAL INSTRUMENTS

PROCEDE ET SYSTEME DESTINES A ETABLIR LE PRIX D'INSTRUMENTS FINANCIERS
    Application: WO 2006IL459 20060411 (PCT/WO IL2006000459)

 23/AN,AZ,TI/7   (Item 7 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01423596
TRADING AND SETTLING ENHANCEMENTS TO ELECTRONIC FUTURES EXCHANGE
AMELIORATIONS APPORTEES AU COMMERCE ET AU REGLEMENT DE FUTURS
ECHANGES ELECTRONIQUES
    Application: WO 2006GB1223 20060403 (PCT/WO GB2006001223)

 23/AN,AZ,TI/8   (Item 8 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01349233
SYSTEM AND METHOD OF MARGINING FIXED PAYOFF PRODUCTS
SYSTEME ET PROCEDE PERMETTANT DE MARGINALISER DES PRODUITS A BENEFICE FIXE
    Application: WO 2005US31036 20050831 (PCT/WO US2005031036)

 23/AN,AZ,TI/9   (Item 9 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01349151
SYSTEM AND METHOD FOR DISPLAYING A COMBINED TRADING AND RISK MANAGEMENT
GUI DISPLAY
SYSTEME ET PROCEDE COMBINANT SUR UNE INTERFACE GRAPHIQUE UN AFFICHAGE
DE NEGOCIATION ET DE GESTION DES RISQUES
    Application: WO 2005US31037 20050831 (PCT/WO US2005031037)

 23/AN,AZ,TI/10   (Item 10 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01349013
SYSTEM AND METHOD FOR ASYMMETRIC OFFSETS IN A RISK MANAGEMENT SYSTEM
SYSTEME ET PROCEDE DE COMPENSATIONS ASYMETRIQUES DANS UN SYSTEME DE
GESTION DE RISQUES
    Application: WO 2005US31136 20050831 (PCT/WO US2005031136)

 23/AN,AZ,TI/11   (Item 11 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01348582
SYSTEM AND METHOD FOR ACTIVITY BASED MARGINING
SYSTEME ET PROCEDE POUR LA DETERMINATION DE MARGE EN FONCTION DE
L'ACTIVITE
    Application: WO 2005US31181 20050831 (PCT/WO US2005031181)

23/AN,AZ,TI/12   (Item 12 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01348503
SYSTEM AND METHOD FOR HYBRID SPREADING FOR RISK MANAGEMENT
SYSTEME ET PROCEDE DE DEPLOIEMENT HYBRIDE POUR LA GESTION DU RISQUE
    Application: WO 2005US31050 20050831 (PCT/WO US2005031050)

23/AN,AZ,TI/13   (Item 13 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01348412
SYSTEM AND METHOD FOR EFFICIENTLY USING COLLATERAL FOR RISK OFFSET
SYSTEME ET PROCEDE D'UTILISATION EFFICACE D'UNE GARANTIE POUR COMPENSER
LES RISQUES
    Application: WO 2005US31137 20050831 (PCT/WO US2005031137)

23/AN,AZ,TI/14   (Item 14 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01347827
SYSTEM AND METHOD FOR FLEXIBLE SPREAD PARTICIPATION
SYSTEME ET PROCEDE POUR UNE PARTICIPATION A ECART SOUPLE
    Application: WO 2005US31039 20050831 (PCT/WO US2005031039)

23/AN,AZ,TI/15   (Item 15 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01213391
ENHANCED PARIMUTUEL WAGERING
PARI DU TYPE PARI MUTUEL AMELIORE
    Application: WO 2004US25434 20040806 (PCT/WO US2004025434)

23/AN,AZ,TI/16   (Item 16 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01056423
DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
PRODUITS DERIVES PRESENTANT DES RENDEMENTS AJUSTABLES BASES SUR LA
DEMANDE ET ECHANGES COMMERCIAUX ASSOCIES
    Application: WO 2003US7990 20030313 (PCT/WO US03007990)

23/AN,AZ,TI/17   (Item 17 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
01046021
INVESTMENT PORTFOLIO ANALYSIS SYSTEM
SYSTEME D'ANALYSE D'UN PORTEFEUILLE D'INVESTISSEMENTS

Application: WO 2003US5983 20030227 (PCT/WO US03005983)

23/AN,AZ,TI/18   (Item 18 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
00994559
DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
   EXCHANGE THEREFOR
OPTIONS NUMERIQUES A RETOURS AJUSTABLES BASEES SUR LA DEMANDE ET
BOURSE D'ECHANGES COMMERCIAUX AFFERENTE
   Application: WO 2002US30309 20020909 (PCT/WO US02030309)

23/AN,AZ,TI/19   (Item 19 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
00942062
DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
   EXCHANGE THEREFOR
OPTIONS NUMERIQUES COMPORTANT DES RETOURS AJUSTABLES A BASE DE DEMANDE
ET BOURSE D'ECHANGE A CET EFFET
   Application: WO 2002US7480 20020311 (PCT/WO US0207480)

23/AN,AZ,TI/20   (Item 20 from file: 349)
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.
00922112
ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL PRODUCTS OVER A
COMPUTER NETWORK
VENTE AUX ENCHERES ANONYME DE PRODUITS FINANCIERS STRUCTURES SUR UN
RESEAU INFORMATISE
   Application: WO 2002US684 20020111 (PCT/WO US0200684)

23/3,K/3   (Item 3 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT

01811580   **Image available**
METHODS AND SYSTEMS FOR VALUING EMBEDDED OPTIONS
PROCEDES ET SYSTEMES D'EVALUATION D'OPTIONS INTEGREES
Patent Applicant/Inventor:
  KELLEY Sean, 225 North Lombard Avenue, Oak Park, Illinois 60302, US, US
    (Residence), US (Nationality), (Designated for all)
Legal Representative:
  BOONE Travis D et al (agent), Buchanan Ingersoll & Rooney Pc, P.O. Box
    1404, Alexandria, VA 22313-1404, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200951725 A2-A3 20090423 (WO 0951725)
  Application: WO 2008US11763 20081015 (PCT/WO US2008011763)
  Priority Application: US 2007907686 20071016
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
  AE AG AL AM AO AT AU AZ BA BB BG BH BR BW BY BZ CA CH CN CO CR CU CZ DE
  DK DM DO DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE
  KG KM KN KP KR KZ LA LC LK LR LS LT LU LY MA MD ME MG MK MN MW MX MY MZ
  NA NG NI NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM ST SV SY TJ
  TM TN TR TT TZ UA UG US UZ VC VN ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LT LU LV MC
  MT NL NO PL PT RO SE SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 6360
Fulltext Availability:
  Detailed Description
... [005 1] Valuing an embedded put option can follow similar
  procedures to the call option, described above. For this
  option, the credit spread process determines only the payout
  upon exercise. Whether or not the company violates the covenant...

...joint modeling of both the borrower EBITDA process and the debt
  reduction process. Finally, the put option and the payout
  (credit spread) processes are related.

23/3,K/5   (Item 5 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT

01624910   **Image available**
SYSTEM AND METHOD FOR USING DIVERSIFICATION SPREADING FOR RISK OFFSET
SYSTEME ET PROCEDE POUR UTILISER UN ETALEMENT PAR DIVERSIFICATION POUR
UNE COMPENSATION DE RISQUE
Patent Applicant/Assignee:
  CHICAGO MERCANTILE EXCHANGE, 20 South Wacker Drive, Chicago, IL 60606, US
    , US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  GLINBERG Dimitriy, 3841 Bordeaux Drive, Northbrook, IL 60062, US, US
    (Residence), US (Nationality), (Designated only for: US)
  GOGOL Edward, 9750 Kedvale, Skokie, IL 60076, US, US (Residence), US
    (Nationality), (Designated only for: US)
  MICHAELS Dale A, 217 Kacie Court, Westmont, IL 60559-3298, US, US
    (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
  RIDSDALE Matthew T (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
    Chicago, IL 60610, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200820963 A2-A3 20080221 (WO 0820963)
  Application: WO 2007US16610 20070724 (PCT/WO US2007016610)
  Priority Application: US 2006504379 20060815
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
  AE AG AL AM AT AU AZ BA BB BG BH BR BW BY BZ CA CH CN CO CR CU CZ DE DK
  DM DO DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG
  KM KN KP KR KZ LA LC LK LR LS LT LU LY MA MD ME MG MK MN MW MX MY MZ NA
  NG NI NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TM TN
  TR TT TZ UA UG US UZ VC VN ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC MT
  NL PL PT RO SE SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 35852
Fulltext Availability:
  Detailed Description
... involving the purchase of the lower strike put and the sale of the
  higher strike put, called a bull put spread. Butterfly
  spreads can be futures or options spreads. As an option
  spread, a Butterfly spread is a strategy combining a bull and
  bear spread and uses three strike prices. The...

...and sale of options of the same strike, but different expiration dates.
  User defined spreads relate to the ability to choose the legs of a
  spread if the spread is not...

23/3,K/6   (Item 6 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT

01428285   **Image available**
METHOD AND SYSTEM OF PRICING FINANCIAL INSTRUMENTS
PROCEDE ET SYSTEME DESTINES A ETABLIR LE PRIX D'INSTRUMENTS FINANCIERS
Patent Applicant/Assignee:
  SUPERDERIVATIVES INC, Superderivatives Inc, 7 Times Square, Suite 3501,
    New York Ny, New York, ny 10036, US, US (Residence), US (Nationality),
    (For all designated states except: US)
Patent Applicant/Inventor:
  GERSHON David, 6 Stimatzky Street, Apt. 62, 69362 Tel Aviv, IL, IL
    (Residence), IL (Nationality), (Designated only for: US)
Legal Representative:
  EITAN LAW GROUP (agent), 11 Hamenofim, PO Box 2081, 46120 Herzlia, IL
Patent and Priority Information (Country, Number, Date):
  Patent: WO 2006109306 A2-A3 20061019 (WO 06109306)
  Application: WO 2006IL459 20060411 (PCT/WO IL2006000459)
  Priority Application: US 2005669903 20050411
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
  AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
  DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KN KP KR
  KZ LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG
  PH PL PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC
  VN YU ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
  PL PT RO SE SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 17308
Fulltext Availability:
  Detailed Description
... to other embodiments of the invention, the trade information may
  include any additional or alternative type of information, e.g.,
  spread prices of the options. One or more of the parameters
  of the pricing model may be estimated using any...

23/3,K/7   (Item 7 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT

01423596

TRADING AND SETTLING ENHANCEMENTS TO ELECTRONIC FUTURES EXCHANGE AMELIORATIONS APPORTEES AU COMMERCE ET AU REGLEMENT DE FUTURS ECHANGES ELECTRONIQUES

Patent Applicant/Assignee:
  LIFFE ADMINISTRATION AND MANAGEMENT, Cannon Bridge House, One Cousin Lane, London EC4R 3XX, GB, GB (Residence), GB (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:
  PINKAVA Pavel, Flat C, 100 Bedford Road, Clapham, London SW4 7HB, GB, GB (Residence), GB (Nationality), (Designated only for: US)

Legal Representative:
  BARKER BRETTELL (agent), 138 Hagley Road, Edgbaston, Birmingham B16 9PW, GB

Patent and Priority Information (Country, Number, Date):
  Patent: WO 2006103474 A2 20061005 (WO 06103474)
  Application: WO 2006GB1223 20060403 (PCT/WO GB2006001223)
  Priority Application: US 2005667878 20050401; US 2005172739 20050701; US 2005179382 20050712; US 2005179889 20050712; US 2005179942 20050712

Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
  AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KN KP KR KZ LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL PL PT RO SE SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 50915
Fulltext Availability:
  Detailed Description
  Claims

... linked bond futures of the appropriate currency; providing access to
  exact OTC ISDA type debt related derivative exposures of the
  Adapted For Exchange New Interest Rate Swap type in the relevant...

...quotation for trading products; also providing, if needed, the
  appropriate exact OTC ISDA type debt related derivative exposures
  of the Adapted For Exchange New Credit Derivatives type;
  hence having available the appropriate Credit Product Spread
  Quotation For Trading products; defining a price to rate relationship
  algorithm from which the expiry...

...product spread quotation products; and creating and assigning relevant
  and exact OTC ISDA type debt related derivative exposures into each

rate linked bond type futures contract at the expiry, via executing...

...for trading product of the relevant currency; and also ii) for credit
rate linked bond type futures the appropriate credit product
spread quotation product, such transactions being executed on
behalf of exchange participants by reference to the...

...relationship algorithm; b) term defined by the maturity; c) volumes
defined in proportion to the number of those futures held; and d)
buy or sell side defined by the direction exposure...

 23/3,K/8   (Item 8 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2010 WIPO/Thomson. All rts. reserv.

01349233   **Image available**
SYSTEM AND METHOD OF MARGINING FIXED PAYOFF PRODUCTS
SYSTEME ET PROCEDE PERMETTANT DE MARGINALISER DES PRODUITS A BENEFICE FIXE
Patent Applicant/Assignee:
 CHICAGO MERCANTILE EXCHANGE INC, 20 South Wacker Drive, Chicago, IL 60606
  , US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
 GLINBERG Dmitriy, 3841 Bordeaux Drive, Northbrook, IL 60062, US, US
  (Residence), US (Nationality), (Designated only for: US)
 YOO Tae S, 139 Westridge Boulevard, Bartlett, IL 60103, US, US
  (Residence), KR (Nationality), (Designated only for: US)
 MICHAELS Dale A, 217 Kacie Court, Westmont, IL 60559, US, US (Residence),
  US (Nationality), (Designated only for: US)
 GOGOL Edward, 9750 Kedvale, Skokie, IL 60076, US, US (Residence), US
  (Nationality), (Designated only for: US)
Legal Representative:
 KATZ James L (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
  Chicago, IL 60610, US
Patent and Priority Information (Country, Number, Date):
 Patent: WO 200631446 A2-A3 20060323 (WO 0631446)
 Application: WO 2005US31036 20050831 (PCT/WO US2005031036)
 Priority Application: US 2004608736 20040910; US 200530869 20050107
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
 AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
 DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KP KR KZ
 LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL
 PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU
 ZA ZM ZW
 (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
 PL PT RO SE SI SK TR
 (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 39073
Fulltext Availability:
Detailed Description
... involving the purchase of the lower strike put and the sale of the
  higher strike put, called a bull put spread. Butterfly
  spreads can be futures or options spreads. As an option
  spread, a Butterfly spread is a strategy combining a bull and
  bear spread and uses three strike prices. The...

...and sale of options of the same strike, but different expiration dates.
  User defined spreads relate to the ability to choose the legs of a
  spread if the spread is not...

 23/3,K/11   (Item 11 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2010 WIPO/Thomson. All rts. reserv.

01348582   **Image available**
SYSTEM AND METHOD FOR ACTIVITY BASED MARGINING
SYSTEME ET PROCEDE POUR LA DETERMINATION DE MARGE EN FONCTION DE
L'ACTIVITE
Patent Applicant/Assignee:
  CHICAGO MERCANTILE EXCHANGE INC, 30 South Wacker Drive, Chicago, IL 60606
    , US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  GLINBERG Dmitriy, 3841 Bordeaux Drive, Northbrook, IL 60062, US, US
    (Residence), US (Nationality),
  YOO Tae S, 139 Westridge Boulevard, Bartlett, IL 60103, US, US
    (Residence), KR (Nationality),
  ABUDARHAM Jodi L, 1320 Ferndale Avenue, Highland Park, IL 60035, US, US
    (Residence), IL (Nationality),
  MICHAELS Dale A, 217 Kacie Court, Westmont, IL 60559, US, US (Residence),
    US (Nationality),
Legal Representative:
  KATZ James L (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
    Chicago, IL 60610, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200631458 A2-A3 20060323 (WO 0631458)
  Application: WO 2005US31181 20050831 (PCT/WO US2005031181)
  Priority Application: US 2004608787 20040910; US 200530815 20050107
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
  AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM

DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KP KR KZ
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL
PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU
ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
PL PT RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 33030
Fulltext Availability:
  Detailed Description
... involving the purchase of the lower strike put and the sale of the
  higher strike put, called a bull put spread. Butterfly
  spreads can be futures or options spreads. As an option
  spread, a Butterfly spread is a strategy combining a bull
  23 and bear spread and uses three strike prices...

...and sale of options of the same strike, but different expiration dates.
  User defined spreads relate to the ability to choose the legs of a
  spread if the spread is not...

 23/3,K/12   (Item 12 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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01348503   **Image available**
SYSTEM AND METHOD FOR HYBRID SPREADING FOR RISK MANAGEMENT
SYSTEME ET PROCEDE DE DEPLOIEMENT HYBRIDE POUR LA GESTION DU RISQUE
Patent Applicant/Assignee:
  CHICAGO MERCANTILE EXCHANGE INC, 20 South Wacker Drive, Chicago, IL 60606
  , US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  GLINBERG Dmitriy, 3841 Bordeaux Drive, Northbrook, IL 60062, US, US
   (Residence), US (Nationality),
  YOO Tae S, 139 Westridge Boulevard, Bartlett, IL 60103, US, US
   (Residence), KR (Nationality),
  MICHAELS Dale A, 217 Kacie Court, Westmont, IL 60559, US, US (Residence),
   US (Nationality),
  GOGOL Edward, 9750 Kedvale, Skokie, IL 60076, US, US (Residence), US (Nationality),
Legal Representative:
  KATZ James L (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
   Chicago, IL 60610, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200631450 A2-A3 20060323 (WO 0631450)

Application: WO 2005US31050 20050831 (PCT/WO US2005031050)
Priority Application: US 2004608735 20040910; US 200530869 20050107
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
 AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
 DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KP KR KZ
 LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL
 PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU
 ZA ZM ZW
 (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
 PL PT RO SE SI SK TR
 (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
 (AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
 (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 37480
Fulltext Availability:
 Detailed Description
... involving the purchase of the lower strike put and the sale of the
 higher strike put, called a bull put spread. Butterfly
 spreads can be futures or options spreads. As an option
 spread, a Butterfly spread is a strategy combining a bull and
 bear spread and uses three strike prices. The...

...and sale of options of the same strike, but different expiration dates.
 User defined spreads relate to the ability to choose the legs of a
 spread if the spread is not...

 23/3,K/14   (Item 14 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2010 WIPO/Thomson. All rts. reserv.

01347827   **Image available**
SYSTEM AND METHOD FOR FLEXIBLE SPREAD PARTICIPATION
SYSTEME ET PROCEDE POUR UNE PARTICIPATION A ECART SOUPLE
Patent Applicant/Assignee:
 CHICAGO MERCANTILE EXCHANGE INC, 20 South Wacker Drive, Chicago, IL 60606
   , US, US (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
 GLINBERG Dmitriy, 3841 Bordeaux Drive, Northrbook, IL 60062, US, US
   (Residence), US (Nationality), (Designated only for: US)
 YOO Tae S, 139 Westridge Boulevard, Bartlett, IL 60103, US, US
   (Residence), KR (Nationality), (Designated only for: US)
 MICHAELS Dale A, 217 Kacie Court, Westmont, IL 60559, US, US (Residence),
   US (Nationality), (Designated only for: US)
 GOGOL Edward, 9750 Kedvale, Skokie, IL 60076, US, US (Residence), US

(Nationality), (Designated only for: US)
Legal Representative:
  KATZ James L (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
    Chicago, IL 60610, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200631448 A2-A3 20060323 (WO 0631448)
  Application: WO 2005US31039 20050831 (PCT/WO US2005031039)
  Priority Application: US 2004608705 20040910; US 200531182 20050107
Designated States:
(All protection types applied unless otherwise stated - for applications 2004+)
  AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
  DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KP KR KZ
  LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL
  PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU
  ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
  PL PT RO SE SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 33491
Fulltext Availability:
  Detailed Description
... involving the purchase of the lower strike put and the sale of the
  higher strike put, called a bull put spread. Butterfly
  spreads can be futures or options spreads. As an option
  spread, a Butterfly spread is a strategy combining a bull and
  bear spread and uses three strike prices. The...

...and sale of options of the same strike, but different expiration dates.
  User defined spreads relate to the ability to choose the legs of a
  spread if the spread is not...

 23/3,K/16   (Item 16 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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01056423   **Image available**
DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
PRODUITS DERIVES PRESENTANT DES RENDEMENTS AJUSTABLES BASES SUR LA
DEMANDE ET ECHANGES COMMERCIAUX ASSOCIES
Patent Applicant/Assignee:
  LONGITUDE INC, 650 Fifth Avenue, New York, NY 10019, US, US (Residence),
    US (Nationality)

Inventor(s):
  LANGE Jeffrey, 3 East 84th Street, Apt. 3, New York, NY 10028, US,
  BARON Kenneth, 51 West 86th Street, Apt. 602, New York, NY 10024, US,
Legal Representative:
  WEISS Charles A (et al) (agent), Kenyon & Kenyon, One Broadway, New York,
    NY 10004, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200385491 A2-A3 20031016 (WO 0385491)
  Application: WO 2003US7990 20030313 (PCT/WO US03007990)
  Priority Application: US 2002115505 20020402
Designated States:
(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
  EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
  LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SC SD SE SG
  SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE
  SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 136258
Fulltext Availability:
  Detailed Description
  Claims
... which the relevant contingent claims are based. Such lock-in can be
  achieved by placing hedging investments in successive trading
  periods as the returns change, or adjust, from period to period...have a
  preference for a desired payout distribution should a given state occur.
  DBAR digital options, described in Section 6, are an example of an
  investment with a desired payout distribution...returns once trading is
  underway. In another preferred embodiment, described with respect to DBAR
  digital options in Section 6 and another embodiment described in
  Section 7, a very small number of...

...is said to be in equilibrium. Computer code, as illustrated in Table 1
  above or related code readily apparent to one of skill in the art,
  can be used to implement...the levels of the relevant asset-class indices
  are jointly lognormally distributed with an assumed correlation. By
  defining a group of DBAR contingent claims on a joint outcome of two
  underlying events...

...their views on the co-movements of the underlying events as captured by
  the statistical correlation between the events. In this example, the
  assumptionofajointlognormaldistributionmeansthatthetwounderlyingeventsare
  distributed as follows:

...each of the two events, and g(dzi,dz-)) is the bivariate normal
distribution with correlation parameter p, and the notation
otherwise corresponds to the notation used in the description above of
DBAR Range Derivatives. The following information includes the
indices, the trading periods, the predetermined termination criteria, the total...

...Index ("SP500")
Asset Class 2 Forward Price at Observation: 1410
Asset Class 2 Volatility: 18%
Correlation Between Asset Classes: 0.5
Contingent Claim Expiration: 12/31/99

...closing on expiration at 249 and the SP500 closing at 1380 is 88. Since
the correlation between two indices in this example is assumed to
be 0.5, the probability both...

...Demand-based markets or auctions can be structured to offer a wide
variety of products related to common measures of credit quality,
including Moody's and S&P ratings, bankruptcy statistics...
...activity (e.g., the VIX index as calculated by the CBOE) or a measured
parameter related to the underlying event (e.g., an implied
volatility or standard deviation of the VIX...

...Demand-based markets or auctions can be structured to offer a wide
variety of products related to commonly observed indices and
statistics related to economic activity and released or published
by governments, and by domestic, foreign and international...a spread
strategy over the distribution. By purchasing the .42 call and selling
the .46 call, the trader can construct a digital option
spread priced at: .8423 - .3675 = This spread would,
consequently, pay out: 1/.4748 = 2.106, for every dollar invested. Many trades can...

...contingent claims, including, for example, digital options can be based
on a measure or parameter related to Cisco revenues, such as the
gross revenues reported by the Cisco Corporation. The underlying...

...contingent claims, including, for example, digital options can be based
on a measure or parameter related to AOL EBITDA, such as the EBITDA
figure reported by AOL that is used to...
...DBAR digital options 17
corresponding to a projected profile for earnings growth. The manager can
compare the cost In C) 4@ of this strategy with the risk of owning the underlying...

...contingent claims according to the present invention can be constructed
based on an observable event related to real estate. The relevant
information for an illustrative group of such claims is as...

...Demand-based markets or auctions can be structured to offer a wide

variety of products related to real assets, such as real estate, bandwidth, wireless spectrum capacity, or computer memory. An...

< removed unnecessary information>

...is a notable feature of DBAR contingent claims markets because the demand-based pricing condition relates the amount of relative volumes that may clear in equilibrium to the relative equilibrium market prices. Thus... ...auction, is unique among market mechanisms in that the relative prices of claims are directly related to the relative volume transacted of those claims. By contrast, in conventional markets, which have...

...price including the executed order. According to the second constraint, the order's executed notional amount is not to exceed the notional amount requested by the trader to be executed.

III. <u>Text Search Results from Dialog - NPL</u>

A.   Abstract Databases

? show files;ds;cost;logoff hold
File 139:EconLit 1969-2010/Oct
        (c) 2010 American Economic Association
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
        (c) 2002 Gale/Cengage
File 474:New York Times Abs 1969-2010/Oct 27
        (c) 2010 The New York Times
File 475:Wall Street Journal Abs 1973-2010/Oct 27
        (c) 2010 The New York Times
File 35:Dissertation Abs Online 1861-2010/Sep
        (c) 2010 ProQuest Info&Learning
File 65:Inside Conferences 1993-2010/Oct 27
        (c) 2010 BLDSC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Aug
        (c) 2010 The HW Wilson Co.
File 256:TecTrends 1982-2010/Oct W2
        (c) 2010 Info.Sources Inc. All rights res.
File   2:INSPEC 1898-2010/Oct W3
        (c) 2010 The IET

Set	Items	Description
S1	394441	DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2	394441	DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S3	37	S2(1N)(COUNT OR COUNTS)
S4	38	S2()(CODE OR CODES)
S5	0	S4(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DE-SIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR -DATES))
S6	1608	S2(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S7	0	(SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()-(UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S3(10N)S5)
S8	75328	COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR COR-ELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELAT-E? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S9	0	S7(5N)S8
S10	262	S2(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S11	9	S10(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S12	0	S3(7N)S6(7N)S9(7N)S11

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S13       0   S3(S)S4(S)S8
S14     814   S2(10N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFE-
              RENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S15     177   S14(S)(COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT???
              OR CORELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR
              RELATE? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE)
S16      16   S15(S)(COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR N-
              UMBER OR AMOUNT)
S17    2085   S2(S)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFERE-
              NT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S18     517   S17(S)(COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT???
              OR CORELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR
              RELATE? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE)
S19     100   S18(S)(COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR N-
              UMBER OR AMOUNT)
S20      56   S18(10N)(COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR
              NUMBER OR AMOUNT)
S21      66   S16 OR S20
S22      37   S21 NOT (PY>2004 OR PD=20040227:20041231)
S23      35   RD  (unique items)
```

 23/6/1   (Item 1 from file: 139)
743708
TITLE: The Components of Bid-Ask Spread and Their Determinants: TAIFEX
    versus SGX-DT
 PUBLICATION DATE: 2004

 23/6/2   (Item 2 from file: 139)
580234
REVIEW OF: Reforming the State: Fiscal and Welfare Reform in Post-Socialist Countries
 PUBLICATION DATE: 2001

 23/6/3   (Item 3 from file: 139)
436456
REVIEW OF: The new system of national accounts
 PUBLICATION DATE: 1997

 23/6/4   (Item 4 from file: 139)
401640
TITLE: Jua Kali Kenya: Change and development in an informal economy:
    1970-95
 PUBLICATION DATE: 1996

23/6/5   (Item 5 from file: 139)
371399
TITLE: A Simple Approach to Valuing Risky Fixed and Floating Rate Debt
PUBLICATION DATE: 1995

23/6/6   (Item 6 from file: 139)
296490
TITLE: Competition and Trade in Australian Natural Gas Markets
PUBLICATION DATE: 1993

23/6/7   (Item 7 from file: 139)
270607
TITLE: The Effect of the New Risk-Based Capital Requirements on the Market for Swaps
PUBLICATION DATE: 1992

23/6/8   (Item 1 from file: 583)
05260017
Unit trusts faced with hedging restrictions
 UK - UNIT TRUSTS FACE HEDGING RESTRICTIONS
17 August 1992

23/6/9   (Item 1 from file: 474)
05019809   NYT Sequence Number: 047047870320
SERIOUS CRIMES IN NEW YORK CITY RISE 5%, FIRST INCREASE IN FIVE YEARS
Friday March 20 1987

23/6/10   (Item 1 from file: 35)
02005064 ORDER NO: AADAA-IC817439
Determinants of prices and spreads in global currency and money markets
 Year: 2003

23/6/11   (Item 2 from file: 35)
01989653 ORDER NO: AADAA-I3115567
Genetic studies of fusarium head blight resistance in the winter wheat cultivar Ernie
 Year: 2003

23/6/12   (Item 3 from file: 35)
01982813 ORDER NO: AADAA-I3111883
A content analysis of the coverage of cosmetic surgery in women's health
and fitness magazines 1983, 1992, 2001
 Year: 2003

23/6/13   (Item 4 from file: 35)
01766526 ORDER NO: AADAA-I9989027
Interest rate risk management: Hedging misspecification in interest rate swaps
  Year: 2000

23/6/14   (Item 5 from file: 35)
01564650 ORDER NO: AAD97-22613
AN EMPIRICAL INVESTIGATION OF UNIT INITIAL PUBLIC OFFERINGS (UNDERPRICING,
CERTIFICATION)
  Year: 1997

23/6/15   (Item 6 from file: 35)
01531228 ORDER NO: AAD97-06816
A NONLINEAR DYNAMICAL SYSTEMS APPROACH TO VOCAL FOLD OSCILLATION (CHAOS,
ATTRACTORS)
  Year: 1996

23/6/16   (Item 1 from file: 99)
2712148 H.W. WILSON RECORD NUMBER: BAST04110774
Verification of point-spread-function-based modeling of an extreme-ultraviolet photoresist
20040201

23/6/17   (Item 2 from file: 99)
1822403 H.W. WILSON RECORD NUMBER: BAST99017577
MQAM modulation and RS codes for SS-CDMA communication over Rician fading channels
19981200

23/6/18   (Item 1 from file: 2)
09155694
Title: Method for performance analysis of Web caching hierarchical structures
Publication Date: April 2003
INSPEC Update Issue: 2004-043
Copyright: 2004, IEE

23/6/19   (Item 2 from file: 2)
08798460
Title: The industrial work placement
Book Title: Engineering Education 2003 Access, Retention and Standards
  (Ref.No.2003/10027)
Publication Date: 2003
INSPEC Update Issue: 2003-047
Copyright: 2003, IEE

23/6/20   (Item 3 from file: 2)
08792420
Title: Single code multiple access for the broadband satellite return channel
Book Title: GLOBECOM'02 - IEEE Global Telecommunications Conference.
   Conference Record (Cat. No.02CH37398)
Publication Date: 2002
INSPEC Update Issue: 2003-046
Copyright: 2003, IEE

23/6/21   (Item 4 from file: 2)
08472433
Title: Joint source-channel decoding of EVRC speech encoder using residual redundancy
Publication Date: June 2002
INSPEC Update Issue: 2002-049
Copyright: 2002, IEE

23/6/22   (Item 5 from file: 2)
08260770
Title: Application of platform FPGAs in W-CDMA
Book Title: ASICON 2001. 2001 4th International Conference on ASIC
   Proceedings (Cat. No.01TH8549)
Publication Date: 2001
INSPEC Update Issue: 2002-018
Copyright: 2002, IEE

23/6/23   (Item 6 from file: 2)
08247288
Title: Simulation of single bunch instabilities driven by electron cloud in the SPS
Book Title: PACS2001. Proceedings of the 2001 Particle Accelerator
   Conference (Cat. No.01CH37268)
Publication Date: 2001
INSPEC Update Issue: 2002-016
Copyright: 2002, IEE

23/6/24   (Item 7 from file: 2)
08199823
Title: Targeted ensemble prediction for northern Europe and parts of the
   north Atlantic Ocean
Publication Date: Jan. 2001
INSPEC Update Issue: 2002-010
Copyright: 2002, IEE

23/6/25   (Item 8 from file: 2)

07768630
Title: Polarization and localization in insulators: Generating function approach
Publication Date: 15 July 2000
INSPEC Update Issue: 2000-046
Copyright: 2000, IEE

23/6/26   (Item 9 from file: 2)
07657222
Title: Stochastic modeling of electric power prices in a multi-market environment
Book Title: 2000 IEEE Power Engineering Society Winter Meeting. Conference
    Proceedings (Cat. No.00CH37077)
Publication Date: 2000
INSPEC Update Issue: 2000-029
Copyright: 2000, IEE

23/6/27   (Item 10 from file: 2)
07470326
Title: Optical code division multiple-access networks
Publication Date: 1999
INSPEC Update Issue: 2000-003
Copyright: 2000, IEE

23/6/28   (Item 11 from file: 2)
07306380
Title: Implementation of a broadband equalizer for high-speed wireless data applications
Book Title: ICUPC '98. IEEE 1998 International Conference on Universal
    Personal Communications. Conference Proceedings (Cat. No.98TH8384)
Publication Date: 1998
INSPEC Update Issue: 1999-029
Copyright: 1999, IEE

23/6/29   (Item 12 from file: 2)
06629413
Title: Incoherent optical code division multiple access systems
Book Title: 1996 IEEE 4th International Symposium on Spread Spectrum
    Techniques and Applications Proceedings. Technical Program. (Cat. No.96TH8210)
Publication Date: 1996
INSPEC Update Issue: 1997-027
Copyright: 1997, IEE

23/6/30   (Item 13 from file: 2)
06493509
Title: Direct numerical simulation of a turbulent reactive plume on a parallel computer

Publication Date: Dec. 1996
INSPEC Update Issue: 1997-006
Copyright: 1997, IEE

23/6/31   (Item 14 from file: 2)
06407336
Title: Performance evaluation of TCP/RLP protocol stack over CDMA wireless link
Publication Date: 1996
INSPEC Update Issue: 1996-043
Copyright: 1996, IEE

23/6/32   (Item 15 from file: 2)
04112350
Title: Charge-transfer shake-up satellites accompanying core ionization in
   organic donor/acceptor molecules: bis(4-dimethylaminophenyl)squaraine
   and its derivatives
Publication Date: Jan. 1988
INSPEC Update Issue: 1988-010
Copyright: 1988, IEE

23/6/33   (Item 16 from file: 2)
03938126
Title: Georgia Bar leans to LANs
Publication Date: June 1987
INSPEC Update Issue: 1987-017
Copyright: 1987, IEE

23/6/34   (Item 17 from file: 2)
02915033
Title: Randomization effect of errors by means of frequency-hopping
   techniques in a fading channel
Publication Date: May 1982
INSPEC Update Issue: 1982-010
Copyright: 1982, IEE

23/6/35   (Item 18 from file: 2)
02750911
Title: Simultaneous correction of chromaticity and orbit dispersion in a
   strong focusing machine
Publication Date: 1980
INSPEC Update Issue: 1981-011
Copyright: 1981, IEE

23/3,K/1   (Item 1 from file: 139)
DIALOG(R)File 139:EconLit

743708
TITLE: The Components of Bid-Ask Spread and Their Determinants: TAIFEX
    versus SGX-DT

AUTHOR(S): Huang, Yu Chuan
AUTHOR(S) AFFILIATION: National Kaohsiung First U Science and Technology
DOCUMENT TYPE: Journal Article
ABSTRACT INDICATOR: Abstract
ABSTRACT: This paper compares the intraday components of bid-ask
    spread in Taiwan stock index futures traded on Taiwan
      Futures Exchange (TAIFEX) and Singapore Exchange
    Derivatives Trading Limited (SGX-DT). Variables that determine
    the components of spread are also examined. SGX-DT uses a floor
    trading system while TAIFEX uses an electronic...
... regression results show that volatility and information are the major
    determinants of the components while number of trades is not the
    major determinant of the order processing and information asymmetry components...

23/3,K/5   (Item 5 from file: 139)
DIALOG(R)File 139:EconLit

371399
TITLE: A Simple Approach to Valuing Risky Fixed and Floating Rate Debt
AUTHOR(S): Longstaff, Francis A.; Schwartz, Eduardo S.
AUTHOR(S) AFFILIATION: UCLA; UCLA
DOCUMENT TYPE: Journal Article
ABSTRACT INDICATOR: Abstract
...ABSTRACT: simple closed-form valuation expressions for fixed and
    floating rate debt. The model provides a number of interesting

new insights about pricing and hedging corporate debt securities.
For example, we find that the correlation between default risk and the...

23/3,K/10   (Item 1 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2010 ProQuest Info&Learning. All rts. reserv.

02005064 ORDER NO: AADAA-IC817439
Determinants of prices and spreads in global currency and money markets
 Author: McGroarty, Francis Joseph Anthony
 Degree: Ph.D.
 Year: 2003
 Corporate Source/Institution: University of Southampton (United Kingdom) (5036)
 Source: VOLUME 65/03-C OF DISSERTATION ABSTRACTS INTERNATIONAL.
        PAGE 615. 233 PAGES
    ...This duality arises because every transaction price differs from
the mid-quote price by the amount of the half-spread. Since the
price sequence itself is made up of a series of price innovations, it...

B.   Full-text Databases

Full text NPL files - 1

? show files;ds;cost;logoff hold
File 20:Dialog Global Reporter 1997-2010/Oct 27
        (c) 2010 Dialog

Set   Items   Description
S1   4152637   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2   621   S1(1N)(COUNT OR COUNTS)
S3   86   S1()(CODE OR CODES)
S4   1   S3(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DE-
        SIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR -
        DATES))
S5   53511   S1(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S6   0   (SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()-
        (UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S2(10N)S4)
S7   13180516   COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR COR-
        ELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELAT-
        E? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S8   0   S6(5N)S7
S9   3248   S1(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S10   184   S9(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S11   0   S2(7N)S5(7N)S8(7N)S10
S12   9786   S1(7N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S13   481   S12(5N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S14   24140342   (SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR
FOLLOW???()(UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)
S15   767598   S7(10N)S14
S16   0   S2(10N)S5(10N)S13(10N)S15
S17   0   S2(S)S5(S)S13(S)S15
S18   21   S13(S)S15
S19   14102135   COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR NUMBER
OR AMOUNT
S20   69   S13(S)S14(S)S19
S21   79   S18 OR S20
S22   21   S21 NOT (CONFERENCE()CALL OR (FIRST OR 1ST OR SECOND OR 2ND
        OR THIRD OR 3RD OR FOURTH OR 4TH OR FINAL OR PRELIMINARY OR -
        INTERIM)()(QUARTER OR RESULTS) OR QUARTERLY OR ANNUAL()REPORT
        OR (8 OR 10)()(K OR Q) OR 8K OR 8Q OR 10K OR 10Q OR WEBCAST OR
        WEBINAR OR EXHIBITOR()PROFILE? ?)/TI
S23   6   S22 NOT (PY>2004 OR PD=20040227:20041231)

S24   6   RD   (unique items)

24/6/1
32815648
Watch Market Trend Ahead Of December Expiry
December 13, 2003
WORD COUNT: 764

24/6/2
28197070 (USE FORMAT 7 OR 9 FOR FULLTEXT)
MARCH 20, 2003 - 19:12 EST
March 21, 2003
WORD COUNT: 1990

24/6/3
23372856
Old Economy Shares Regain Lost Glory
June 15, 2002
WORD COUNT: 880

24/6/4
22068512 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Liberty   Media   Corporation   Provides   Year-End   Supplemental   Financial
    Information and 2002 Guidance
April 03, 2002
WORD COUNT: 6137

24/6/5
21737823
PR Newswire High Technology Summary Thursday, March 14, 2002
March 14, 2002
WORD COUNT: 3107

24/6/6
12343604
Brady swap for 40-yr Globals above mkt expectations
August 10, 2000
WORD COUNT: 186

24/3,K/1
DIALOG(R)File 20:Dialog Global Reporter
(c) 2010 Dialog. All rts. reserv.

32815648
Watch Market Trend Ahead Of December Expiry
FINANCIAL EXPRESS
December 13, 2003
JOURNAL CODE: WFEX LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 764
    ... Reliance, SBI, MTNL and HLL among others. The put-call ratio rose
sharply on a number of counters and it is indicating a negative bias
in ITC, L&T, Nalco, ONGC...

... cap segment is a welcome development, the spiral increase in the share
prices among the second rung counters does hint at the operators
activities. Investors should avoid writing naked options and...

Full text NPL files - 2

? show files;ds;cost;logoff hold
File 634:San Jose Mercury Jun 1985-2010/Oct 26
        (c) 2010 San Jose Mercury News
File 610:Business Wire 1999-2010/Oct 27
        (c) 2010 Business Wire.
File 613:PR Newswire 1999-2010/Oct 27
        (c) 2010 PR Newswire Association Inc
File 810:Business Wire 1986-1999/Feb 28
        (c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
        (c) 1999 PR Newswire Association Inc
File 626:Bond Buyer Full Text 1981-2008/Jul 07
        (c) 2008 Bond Buyer

Set   Items   Description
S1   960180   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2   960180   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
        2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
        D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S3   175   S2(1N)(COUNT OR COUNTS)
S4   47   S2()(CODE OR CODES)
S5   0   S4(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DE-
        SIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR -
        DATES))
S6   5190   S2(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S7   0   (SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()-
        (UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S3(10N)S5)
S8   386491   COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR COR-
        ELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELAT-
        E? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S9   0   S7(5N)S8
S10   791   S2(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S11   79   S10(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S12   0   S3(7N)S6(7N)S9(7N)S11
S13   440227   COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR NUMBER
OR AMOUNT
S14   15110   S2(3N)S13
S15   422230   SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR
FOLLOW???()(UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER
S16   247   S8(S)S14(S)S15
S17   3   S8(S)S10(S)S13(S)S15
S18   8   S16(S)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
        ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))

S19   10 S17 OR S18
S20   18 S11(S)S13
S21   7 S11(S)S15
S22   32 S19 OR S20 OR S21
S23   18 S22 NOT (PY>2004 OR PD=20040227:20041231)
S24   17 RD (unique items)

 24/6/1   (Item 1 from file: 610)
00965114 20030924267B2054 (USE FORMAT 7 FOR FULLTEXT)
Micron Technology, Inc., Reports Results for the Fourth Quarter and Fiscal
Year 2003; Announces $450 Million Investment by Intel Corporation
Wednesday, September 24, 2003 17:06 EDT
WORD COUNT: 2,415

 24/6/2   (Item 2 from file: 610)
00932454 20030717198B5252 (USE FORMAT 7 FOR FULLTEXT)
Cypress Reports Second Quarter 2003 Results
Thursday, July 17, 2003 08:31 EDT
WORD COUNT: 3,600

 24/6/3   (Item 3 from file: 610)
00919340 20030618169B0431 (USE FORMAT 7 FOR FULLTEXT)
Micron Technology, Inc., Reports Results for the Third Quarter of Fiscal
Year 2003
Wednesday, June 18, 2003 17:02 EDT
WORD COUNT: 1,884

 24/6/4   (Item 4 from file: 610)
00871449 20030320079B6548 (USE FORMAT 7 FOR FULLTEXT)
Micron Technology, Inc. Reports Results for the Second Quarter of Fiscal
Year 2003
Thursday, March 20, 2003 18:12 EST
WORD COUNT: 1,992

 24/6/5   (Item 5 from file: 610)
00844189 20030130030B5520 (USE FORMAT 7 FOR FULLTEXT)
Micron Technology, Inc., Prices $550 Million Convertible Subordinated Note Offering
Thursday, January 30, 2003 01:00 EST
WORD COUNT: 397

 24/6/6   (Item 6 from file: 610)
00842392 20030127027B3709 (USE FORMAT 7 FOR FULLTEXT)

Methanex Continues to Generate Substantial Cash in Strong Methanol Price Environment
Monday, January 27, 2003 22:15 EST
WORD COUNT: 7,840

24/6/7   (Item 7 from file: 610)
00602903 20011016289B5566 (USE FORMAT 7 FOR FULLTEXT)
Washington Mutual Announces Record Quarterly Earnings and Continued Strong
Operating Fundamentals; Board of Directors Increases Cash Dividend
Tuesday, October 16, 2001 19:27 EDT
WORD COUNT: 5,914

24/6/8   (Item 1 from file: 613)
01094092 20040112CGM025 (USE FORMAT 7 FOR FULLTEXT)
CME Acquires Liquidity Direct Technology, LLC
Monday, January 12, 2004 07:01 EST
WORD COUNT: 973

24/6/9   (Item 2 from file: 613)
01062820 20031030CGTH068 (USE FORMAT 7 FOR FULLTEXT)
Northwest Airlines Corporation Prices $225 Million of
Thursday, October 30, 2003 15:40 EST
WORD COUNT: 600

24/6/10   (Item 3 from file: 613)
01062156 20031029CGW070 (USE FORMAT 7 FOR FULLTEXT)
Northwest Airlines Announces Proposed Notes Offering
Wednesday, October 29, 2003 19:31 EST
WORD COUNT: 255

24/6/11   (Item 4 from file: 613)
01014565 20030724LATH058 (USE FORMAT 7 FOR FULLTEXT)
New Century Financial Corp. Announces Record $1.61 EPS
Thursday, July 24, 2003 02:46 EDT
WORD COUNT: 4,979

24/6/12   (Item 5 from file: 613)
00980216 20030512SFM123 (USE FORMAT 7 FOR FULLTEXT)
LSI Logic Corporation Prices $350 Million Convertible
Monday, May 12, 2003 20:59 EDT
WORD COUNT: 565

24/6/13   (Item 6 from file: 613)
00928932 20030203CGM084 (USE FORMAT 7 FOR FULLTEXT)
CME January Volume Rises 2.7 Percent Over 2002
Monday, February 3, 2003 18:53 EST
WORD COUNT: 767

24/6/14   (Item 7 from file: 613)
00842173 20021022DCTU049 (USE FORMAT 7 FOR FULLTEXT)
FBR Asset Investment Corporation Third Quarter Results
Tuesday, October 22, 2002 19:19 EDT
WORD COUNT: 1,271

24/6/15   (Item 8 from file: 613)
00735138 20020319NYTU099 (USE FORMAT 7 FOR FULLTEXT)
CA Announces Closing of Sale of Convertible Senior Notes
Tuesday, March 19, 2002 08:15 EST
WORD COUNT: 544

24/6/16   (Item 9 from file: 613)
00732916 20020314NYTH073 (USE FORMAT 7 FOR FULLTEXT)
Computer Associates Announces Sale Of Convertible Senior
Thursday, March 14, 2002 08:07 EST
WORD COUNT: 827

24/6/17   (Item 10 from file: 613)
00732201 20020313NYW081 (USE FORMAT 7 FOR FULLTEXT)
Computer Associates Announces Proposed Private Offering
Wednesday, March 13, 2002 08:49 EST
WORD COUNT: 782

Full text NPL files - 3

? show files;ds;cost;logoff hold
File 268:Banking Info Source 1981-2010/Oct W3
    (c) 2010 ProQuest Info&Learning
File   9:Business & Industry(R) Jul/1994-2010/Oct 26
    (c) 2010 Gale/Cengage
File 15:ABI/Inform(R) 1971-2010/Oct 26
    (c) 2010 ProQuest Info&Learning
File 16:Gale Group PROMT(R) 1990-2010/Oct 25
    (c) 2010 Gale/Cengage
File 148:Gale Group Trade & Industry DB 1976-2010/Oct 26
    (c) 2010 Gale/Cengage
File 160:Gale Group PROMT(R) 1972-1989
    (c) 1999 The Gale Group

Set	Items	Description
S1	3829735	DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURED()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2	764	S1(1N)(COUNT OR COUNTS)
S3	227	S1()(CODE OR CODES)
S4	6	S3(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DESIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR DATES))
S5	29173	S1(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S6	0	(SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()(UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S2(10N)S4)
S7	9364391	COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR CORELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELATE? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S8	0	S6(5N)S7
S9	4405	S1(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFERENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S10	313	S9(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S11	0	S2(7N)S5(7N)S8(7N)S10
S12	11321901	COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR NUMBER OR AMOUNT
S13	99213	S1(5N)S12
S14	8394	S7(S)S13
S15	850675	SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFERENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME)
S16	190	S14(S)S15
S17	36	S9(S)S14
S18	7	S17 NOT (PY>2004 OR PD=20040227:20041231)
S19	6	RD (unique items)

19/6/1   (Item 1 from file: 15)
02060254 59723839   **USE FORMAT 7 OR 9 FOR FULL TEXT**
Not all it's cracked up to be
Jul 2000   LENGTH: 3 Pages
WORD COUNT: 1423

19/6/2   (Item 2 from file: 15)
01669087 03-20077   **USE FORMAT 7 OR 9 FOR FULL TEXT**
Dealers' hedging of interest rate options in the U.S. dollar fixed-income market
Jun 1998   LENGTH: 23 Pages
WORD COUNT: 9389

19/6/3   (Item 3 from file: 15)
00287805 85-28239
Using Indexes to Straddle Your Way to the Bank
Aug 1985   LENGTH: 3 Pages

19/6/4   (Item 1 from file: 148)
11220638   SUPPLIER NUMBER: 55282181   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Hedging GNMA mortgage-backed securities with T-note futures: dynamic versus
 static hedging.(Government National Mortgage Association, treasury notes)
Summer, 1999
WORD COUNT: 7774   LINE COUNT: 00631

19/6/5   (Item 2 from file: 148)
10398235   SUPPLIER NUMBER: 20927248   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Dealers' hedging of interest rate options in the U.S. dollar fixed-income
 market.(includes related information)
June, 1998
WORD COUNT: 13827   LINE COUNT: 01230

19/6/6   (Item 3 from file: 148)
05846027   SUPPLIER NUMBER: 12106512   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Five-year flourish. (future and options market performance of GNI) (Derivatives Survey)
Feb, 1992
WORD COUNT: 592   LINE COUNT: 00058

19/3,K/2   (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

01669087 03-20077
Dealers' hedging of interest rate options in the U.S. dollar fixed-income market
Kambhu, John E
Economic Policy Review v4n2 PP: 35-57 Jun 1998
JRNL CODE: EPV
WORD COUNT: 9389
...TEXT: interest rate shock might be hedged initially, with the remainder
hedged over time. Dealers can spread the hedge adjustment over
a number of days by executing a series of transactions that are small
relative to daily turnover...

...exposed to some price risk until the hedging transactions are completed.
Alternatively, by assuming some correlation risk, a dealer could also
hedge the longer maturity exposures with the first three near...

...hedging of longer maturity exposures easily, but returns on these
contracts are less than perfectly correlated with longer maturity interest rates.

Full text NPL files - 4

? show files;ds;cost;logoff hold
File 275:Gale Group Computer DB(TM) 1983-2010/Sep 14
     (c) 2010 Gale/Cengage
File 621:Gale Group New Prod.Annou.(R) 1985-2010/Sep 03
     (c) 2010 Gale/Cengage
File 636:Gale Group Newsletter DB(TM) 1987-2010/Oct 26
     (c) 2010 Gale/Cengage
File 267:Finance & Banking Newsletters 2008/Sep 29
     (c) 2008 Dialog
File 624:McGraw-Hill Publications 1985-2010/Oct 27
     (c) 2010 McGraw-Hill Co. Inc
File 625:American Banker Publications 1981-2008/Jun 26
     (c) 2008 American Banker

Set   Items   Description
S1   1505549   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
     2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
     D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S2   1505549   DERIVATIVE OR DERIVATIVES OR OPTION OR OPTIONS OR FORWARD(-
     2W)AGREEMENT? ? OR SWAPS OR SWAPTIONS OR FUTURES OR STRUCTURE-
     D()NOTE? ? OR SYNTHETIC()ASSET? ? OR HEDG???
S3   249   S2(1N)(COUNT OR COUNTS)
S4   111   S2()(CODE OR CODES)
S5   1   S4(5N)(CONTRACT OR CALLPUT OR CALL OR PUT OR (STRIKE OR DE-
     SIGNATION OR REFERENCE OR EFFECTIVE OR EXERCISE)(1N)(DATE OR -
     DATES))
S6   9592   S2(1N)(QUANTIT??? OR NUMBER OR AMOUNT)
S7   0   (SECOND OR 2ND OR FOLLOWUP OR FOLLOWTHROUGH OR FOLLOW???()-
     (UP OR THROUGH) OR SUBSEQUENT OR NEXT OR ANOTHER)(5N)(S3(10N)S5)
S8   519868   COMPAR??? OR COMPARATIVE OR COMPARI? OR CORRELAT??? OR COR-
     ELAT??? OR JUXTAPOS? OR MATCH OR MATCHED OR MATCHING OR RELAT-
     E? ? OR SIDE(X)SIDE OR SIDE(1W)SIDE
S9   0   S7(5N)S8
S10   1924   S2(1N)(SPREAD OR (PURCHASE OR SALE)(2N)(MULTIPLE OR DIFFER-
     ENT OR SEVERAL OR MANY OR LOT OR LOTS OR SOME))
S11   104   S10(3N)(TYPE OR KIND OR FORM OR CALL OR PUT)
S12   0   S3(7N)S6(7N)S9(7N)S11
S13   608135   COUNT OR COUNTS OR CODE OR CODES OR QUANTIT??? OR NUMBER
OR AMOUNT
S14   153579   S2(S)S13
S15   20134   S8(S)S14
S16   74   S10(S)S15
S17   29   S16 NOT (PY>2004 OR PD=20040227:20041231)
S18   25   RD (unique items)

18/6/1   (Item 1 from file: 621)
01772563   Supplier Number: 53388769 (USE FORMAT 007 FOR FULLTEXT)
Arcadia Financial Ltd. Announces $225 Million Securitization.
Dec 11, 1998
Word Count: 573

18/6/2   (Item 1 from file: 267)
04597793
 Freddie Mac's January business volume significantly down
March 3,2003
WORD COUNT: 458
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/3   (Item 2 from file: 267)
04591390
 Basket Case: Issue-based investing baskets use complex models to provide a
simple and affordable hedging solution.
July 1,2002
WORD COUNT: 1613
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/4   (Item 3 from file: 267)
04590243
 Carry is still king, experts say
May 27,2002
WORD COUNT: 680
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/5   (Item 4 from file: 267)
04586468
 CDO Year in Review and Outlook: "Simply Unflappable" By Gail Lee, Helen
Remeza, David Kung, of Credit Suisse First Boston
January 2,2002
WORD COUNT: 1530
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/6   (Item 5 from file: 267)
04571905
 New Prepayment Model Sparks Debate
October 16,2000
WORD COUNT: 629
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/7   (Item 6 from file: 267)
04565676
New Pricing for CMBS May Favor Lenders: Borrowers Might Not Approve of It, Sources Say
May 1,2000
WORD COUNT: 842
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/8   (Item 7 from file: 267)
04565480
 New Pricing Paradigm for Spread Product: Though Borrowers are Unwilling to
Jump the Gun, Sources Say
May 1,2000
WORD COUNT: 863
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/9   (Item 8 from file: 267)
04558973
 LBO Roundtable: Managing Change In the Climate For Leveraged Deals
December 1,1999
WORD COUNT: 5734
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.

18/6/10   (Item 9 from file: 267)
04546851
  ASIAN CAPITAL MARKETS, Australia Post shows domestic market allure
March 19, 1999
WORD COUNT: 1446
        (c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

18/6/11   (Item 10 from file: 267)
04533345
  International Bond Issues, Swiss francs, Landesbank Schleswig-Holstein Girozentrale
May 8, 1998
WORD COUNT: 487
        (c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

18/6/12   (Item 11 from file: 267)
00035919
 Major Events In The First Half Of 1996
July 22, 1996
WORD COUNT: 1027
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/13   (Item 12 from file: 267)
00035413
 Intermediate Home Equities Look Attractive
November 3, 1997
WORD COUNT: 547
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/14   (Item 13 from file: 267)
00034328
 Regulators Propose To Eliminate 'High-Risk' Stress Tests On CMOs
October 20, 1997
WORD COUNT: 1298
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/15   (Item 14 from file: 267)
00030542
 Exploring the Risk Frontier
August 18, 1997
WORD COUNT: 3563
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/16   (Item 15 from file: 267)
00029600
 MBS Negative Convexity Concern Rises As 10-Year Yield Falls
July 28, 1997
WORD COUNT: 686
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/17   (Item 16 from file: 267)
00026914
 Valuing Caps On HEL Floaters
June 23, 1997
WORD COUNT: 1029
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/18   (Item 17 from file: 267)
00023904
 HEL Prepayments In 1996 Rise For Both Premiums And Discounts
December 16, 1996
WORD COUNT: 748
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/19   (Item 18 from file: 267)
00023177
 Consumer Installment Credit
November 18, 1996
WORD COUNT: 1099
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/20   (Item 19 from file: 267)
00023084
 HELs Backed By Shorter WAM And Lower Balloon Percentages Offer Relative Value
October 14, 1996
WORD COUNT: 1068
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/21   (Item 20 from file: 267)
00015185
 International Bond Issues, Italian Lire, Oesterreichische Postsparkasse
November 15, 1996
WORD COUNT: 948
        (c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

18/6/22   (Item 21 from file: 267)
00012793
 International Bond Issues, Swiss francs, S|dwestdeutsche Landesbank Capital Markets
August 30, 1996
WORD COUNT: 565
        (c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

18/6/23   (Item 22 from file: 267)
00004445
 Remic Issuance Soars, Mortgage Spreads Tighten
January 20, 1997
WORD COUNT: 863
        (c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

18/6/24   (Item 1 from file: 625)
0163875
* Comment: A More Productive Form of Rate Risk
November 14, 1995

18/6/25   (Item 2 from file: 625)
0095649
Saving the Thrifts: The Real Solution
February 14, 1989

18/3,K/3   (Item 2 from file: 267)
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04591390
 Basket Case: Issue-based investing baskets use complex models to provide a
simple and affordable hedging solution.
Brad Zigler
Financial Planning
July 1,2002   DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH   WORD COUNT: 1613   RECORD TYPE: FULLTEXT
     (c) SECURITIES DATA PUBLISHING All Rts. Reserv.
TEXT: ...Roy McDonald, has assembled a cadre of analysts and mathematicians to
cook up investment models correlating stock price movements to events
and trends in the news. The result of their labors...

...For example, suppose you fear AOL Time Warner is headed south. Perhaps
you need a hedge, but you don't have (or want) a margin or
options account. Then one of your portfolio choices, by Gazebo's
lights, ought to be Rocky...
...gauging each stock against the targeted metric "to find stocks,"
according to McDonald, "that are correlated because of some causality
relationship." A list of the 100 most highly correlated candidates is
assembled, then optimized into a portfolio containing "10 stocks which
will, in combination, yield the best future correlation to the
metric, as well as having the least amount of correlation with
the systematic factors," McDonald says.

< removed unnecessary information>

...Gazebo portfolio, too, exhibited volatility well below the historic 50%
implied volatility embedded in SOX options over the past couple of years.
  Hindsight, it's said, is always 20/20. But...sobering and edifying
experience for once-rabid AOL bulls.
  IBI baskets seem to have a number of possible applications as
portfolios themselves, as proxies for derivatives and individual
stocks, and possibly as bellwethers of intrinsic values. Gazebo's
quantitative engine has...

18/3,K/8   (Item 7 from file: 267)
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04565480
 New Pricing Paradigm for Spread Product: Though Borrowers are Unwilling to
Jump the Gun, Sources Say

Adam Tempkin
Asset Sales Report
May 1,2000   DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH   WORD COUNT: 863   RECORD TYPE: FULLTEXT
        (c) SECURITIES DATA PUBLISHING All Rts. Reserv.
TEXT: If traders learned one major lesson from the market crises of 1998, it
was that hedging asset-backed and mortgage-backed securities required
hedging out spread risk - forcing players to pay special
attention to the swap market, the ultimate harbinger of...

...still being felt today, especially in the commercial mortgage-backed
sector - which is now 90% correlated to the swap market, particularly
over the last year and a half - and the asset...
...CMBS market players are currently debating how they can shuffle the
three major pricing and hedging factors - Treasurys, swaps and
cost of funds - in order to make transactions less risky for lenders.

...While players currently take the risks associated with swaps
alongside the cost-of-funds risk, as well as the risk to overall profits, switching...

...Now more than ever, swaps are extremely volatile. While they
remained relatively flat two or three years ago, they seem to be bouncing
back and forth now, creating a factor of volatility.
  The problem with swaps is that there is a symbiotic relationship
with CMBS, in that CMBS lenders will use the swap market to hedge their portfolio. But
by using the swap market, more demand is created, and because there...

...For instance, if a spread is quoted at Treasurys plus 250, that
number represents two components: swap costs and cost of
funds/profits. Though the 250 remains constant, for every tick that the
swaps go up, the profit goes down a tick. From a lender's perspective, linking that...

...of customers are running money against bogies that are not on the same
basis as swaps," said Patrick Corcoran, the head of CMBS research at
J.P. Morgan. "Then again, dealers in the Wall Street community find that
the best way to hedge their profit margins on deals is with
swaps, so it's not clear whether people are considering changing.
Before swaps, there was no hedge for spread risks."
  How Would Borrowers React?
  Still, swaps are all over the map these days, and that might not be
something that borrowers would be happy about. Therefore, at first blush,
the new "Treasurys + Swaps" paradigm might not sit well with them.

...this alternative, the reaction may be, Thanks, but no thanks.' Treasurys
are fairly stable, whereas swaps jump all over the place."
  "The only way to hedge your CMBS pipeline is to hedge it to
the swap market," added Michael Hoeh, head portfolio manager at Dreyfus

Corp. "Even if a dealer is hedging the CMBS pipeline with agency debentures or futures, it is going to be tick-for-tick with the swap market. A good portion...

...Still, other market participants say that the new trend towards using swaps is simply a matter of changing the frame of reference to make a deal look better. "The use of swaps migrated to CMBS as the universe of buyers expanded," said Stephen L'Heureux, head portfolio...

18/3,K/9   (Item 8 from file: 267)
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04558973
 LBO Roundtable: Managing Change In the Climate For Leveraged Deals
Staff Reports
Mergers & Acquisitions Journal
December 1,1999   DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH   WORD COUNT: 5734   RECORD TYPE: FULLTEXT
      (c) SECURITIES DATA PUBLISHING All Rts. Reserv.
TEXT: ...strong relationships, we are still getting financing at aggressive multiples, although there is a fair amount of reluctance to take the syndication risk. We are working harder than ever to help...

...up in size. It used to be that $100 million in financing was the critical number to hit. That figure has now jumped to $150 million to $200 million for the...of 1998, the market shut down completely, so I am not sure there is a comparison. But we are probably not ahead of where we were in the second quarter of...to include the institutional buyers, like the collateralized bond obligation funds and their variations. A number of people in our industry, from Kelso to Carlyle to Bain Capital and a number of other firms that are traditionally private equity firms, have raised such funds. We manage one as well through our portfolio company, Cypress Holding.
   They are providing a healthy amount of the tranche-B financing, typically the longer-term paper to finance larger syndicated deals...

...the high-yield markets and understanding the spreads and the mathematics of the portfolio yield compared with the rates of return available to the equity tranche, which are at compelling levels...has on the newsletters that write about it.
   Gonyo: Relative to the entire universe, the number of deals that use pooling is relatively small, perhaps 5% of the market. Typically, it...

...may depress multiples on certain deals, but I doubt that it will reduce the total number of deals completed.
   Morgan: There is also a soft benefit. Even though pooling is a...and can explain this away or that away. There is the temptation to let a

number of things get past until you reach a critical point where you realize that you...multiples that you just can't get from mundane businesses, and you have many more options for exit, including the public markets as well as strategic buyers who want a toehold...that the investment results support being in the right industry.

   Gonyo: You also have to relate growth to the industry. Is it a growing industry or is it just this particular...

...stock price. There is a very thinly traded market. And with the rush of Internet-related companies to go public, there is not much demand out there for the more prosaic stock is not typically what your limited partners want to see. There are also many strategic sale options these days, so there is no need to look to a public offering when you...

...buyers more frequently. For example, U.K. companies are very aggressive right now in a number of industries and they are very compelling buyers.
   Cash-Out Timing
   M&A: What are...year horizon. I don't know that you could do much strategically in any less amount of time. But we are opportunistic, so if something were to happen shortly after purchase...

...for liquidity. And as the shares come down in price, those blocks possess even fewer options. So, finally, their desire for liquidity overcomes their valuation dreams and the companies become available...

I V.   <u>Additional Resources Searched</u>

Searches were done in two template files not available through DIALOG, the Internet and Personal Computing Abstracts and the Financial Times, but there were no results.